# Experimental Study of FPT Algorithms for the Directed Feedback Vertex Set Problem

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Abstract. We evaluate the performance of FPT algorithms for the directed feedback vertex set problem (DFVS). We propose several new data reduction rules for DFVS. which can significantly reduce the search space. We also propose various heuristics to accelerate the FPT search. Finally, we demonstrate that DFVS is not more helpful for deadlock recovery (with mutex locks) than simple cycle detection.

# 1 Introduction

In the minimum feedback vertex set problem (FVS) we are given a graph G = (V, E) and we want to find a minimum number of nodes in V whose removal would make the graph acyclic. The problem is NP-complete on undirected (UFVS) and directed (DFVS) graphs. Since DFVS has some applications in compiler optimization [14, 16] and database deadlock recovery (see [4]), it is important to solve this problem quickly. Therefore, the parameterized versions of FVS have recently been studied. In k-DFVS the input is a pair (G, k), where G is the graph and k > 0 is a parameter, and the task is to either find a set of at most k nodes blocking all cycles or to report that such a set does not exist. A parameterized problem is fixed parameter tractable (see [6]) if it can be solved in time O(f(k)poly(n)), where n is the number of nodes of G and f is an arbitrary computable function. Intuitively, a problem is in the class FPT if it can be solved efficiently for fixed parameter k. We denote the runtime by  $O^*(f(k))$ , omitting the less interesting polynomial dependency on n. Obviously, we do not expect f(k) to be polynomial for NP-hard problems.

If we can reduce (G, k) in time g(k) to an equivalent parameterized problem (H, k'), where g is some computable function and k' only depends on k, such that the size of H is bounded by some function h(k), then (H, k') is a *kernel* of (G, k). It is known that being in FPT is equivalent to having a kernel [6]. While a polynomial-size kernel immediately implies the existence of an FPT algorithm

<sup>\*</sup> According to international standard, the authors are listed in alphabetic order; firstauthor order would be X. Wu, L. Yuan, and R. Fleischer. This research was supported by the Shanghai Leading Academic Discipline Project (project number B114), the Shanghai Committee of Science and Technology of China (nos. 08DZ2271800 and 09DZ2272800), and the Robert Bosch Foundation (Science Bridge China 32.5.8003.0040.0).

(reduce the problem to its kernel, then solve the problem on the kernel by bruteforce search), it is not known how to derive a polynomial-size kernel from a given FPT algorithm.

Wang et al. [16] first observed that DFVS is more difficult than UFVS. Bodlaender showed that UFVS is in FPT [1], and Thomasse recently gave a quadratic size kernel [15]. For planar graphs, there is even a linear size kernel [2]. On the other hand, the FPT status of DFVS had been an open problem for fifteen years [5] until Chen et al. recently proposed an FPT algorithm [4] based on branching. It is not known whether the problem has a polynomial size kernel.

Our work. We implemented the DFVS algorithm by Chen et al. [4] and tested it on random graphs with various values for edge density ed and optimum DFVS size k. Fig. 1(a) shows the runtime of the algorithm for graphs with ed = 2and k = 2, 4, 6, 8. As expected, the performance degrades dramatically when the parameter k increases; for k = 8 we often observed a time-out (set to three hours in our experiments), in particular on low-density graphs. Data reduction rules are very attractive to speed up FPT algorithms because no branching is involved and they may sometimes lead to a good kernelization. Inspired by the recent kernelization techniques for UFVS by Thomasse [15], we propose four new data reduction (preprocessing) rules for DFVS: Dummy Nodes, Chaining Nodes, Flower, and Shortcut. We achieved another speed-up by starting Chen's FPT search not in an arbitrary initial configuration. We propose three heuristics to choose a good initial configuration: a simple greedy heuristic based on picking large-degree nodes, and two more sophisticated heuristics based on computing good approximations to the optimal DFVS solution [7].

We evaluated the performance of our data reduction rules and heuristics on randomly generated graphs with varying number of nodes, edge density, and optimal solution size. In particular, we analyzed the impact of each parameter and heuristic on the total runtime. We measured runtime, kernel size (since we do not have a kernelization yet, we actually measure the maximum problem size sent to standard min cut when solving the skew separator problem, an important step in Chen's algorithm [4]), memory usage, and recursion depth. Our data suggest that *Chaining Nodes*, *Flower*, and *Shortcut* reductions can significantly reduce the FPT search space enabling us to solve DFVS on graphs that are several orders of magnitude larger than what Chen's algorithm can handle (see Fig. 1). Overall, we think best approach for solving DFVS is to first apply our reduction rules, then use the *Big-Degree* heuristic to compute an initial configuration for Chen's FPT algorithm, which is then used to solve the problem.

When we started this study, our main goal was actually to evaluate the algorithms on real data from the purported main application of DFVS [4,7], namely deadlock recovery in multi-thread computing environments. However, due to restrictions of the parallel programming model, cycle detection, rather than DFVS search, is already sufficient for efficient deadlock recovery. For example, it was reported in a recent paper on deadlock immunity [10] that cycle detection only incurs a loss of efficiency of 6% in state-of-the-art multi-core systems, so there is no need to employ complicated FPT algorithms. Structure of the paper. In Section 2, we give some basic definitions. In Section 3, we briefly review Chen's FPT algorithm for DFVS and propose our new reduction rules and heuristics to speed-up the algorithm. In Section 4 we discuss implementation details of the algorithms, the random graph generator, and we present and discuss our experimental data. In Section 5 we discuss the suitability of DFVS for the deadlock recovery problem for concurrent threads. We conclude the paper in Section 6 with some thoughts about future work.

# 2 Preliminaries

Let G = (V, E) be a directed graph with nodes V and edges E. A simple path is a path with no repeated nodes. Two paths are *internally disjoint* if no node appears on both paths except maybe the end nodes. A *directed cycle* is a path ending at its start node. Similarly, a *simple cycle* is cycle in which no node appears twice. A directed graph is *acyclic* (DAG) if and only if it contains no directed cycle.

For a node u, a u-flower of order k is a set of k directed cycles that are pairwise disjoint except for their common node u. We call these cycles *petals*. To compute u-flowers in G, we split u into two nodes s and t, with s incident to all outgoing edges and t to all incoming edges. Then, the maximum flower size at uequals the maximum number of node disjoint paths from s to t in G, which we can easily compute using standard min-cut techniques. and *Menger's Theorem* for directed graphs [3] which states that the maximum number of internally disjoint paths from s to t equals the size of a minimum (s, t) node cut if s is not adjacent to t. In the following, we use petal(u) to denote the maximum flower size at u. Note that petal(u) = 1 implies that there exists another node v such that all cycles containing u also contain v (i.e., v dominates u); v is the single cut node between s and t in Menger's Theorem.

# 3 Chen's Algorithm and Speed-Ups

Chen's algorithm is based on *iterative compression* [9]. Given an input (G, k), we arbitrarily choose an induced (k + 1)-node subgraph H of G and an arbitrary k-node subset I of the nodes in H. Note that I is a k-node feedback vertex set of H. Then we repeatedly add another node of G to H and I, until H = G. Note that whenever we just added a new node, I is a (k + 1)-node feedback vertex set of H. We then use the subroutine FVS-Reduction to compress I into a k-node feedback vertex set of G. If in some iteration we cannot find a k-node feedback vertex set for H, we conclude that G does not have a k-node feedback vertex set. We can improve the runtime in two ways: use data reduction rules, and use heuristics to find a good initial configuration for the algorithm.

*Data reduction rules.* We preprocess the given graph by applying different rules (in arbitrary order and as long as possible) to reduce the size of the graph and

the parameter k. Essentially, this reduces the search space for the FPT algorithm later, whose runtime is exponential in k. Initially, we set the feedback vertex set  $I = \emptyset$ .

- **Rule 1** (*Self-Loop*) If u has a self-loop, we add u to I, decrease k by 1, and delete u and adjacent edges from the graph.
- **Rule 2** (*Edge Canonicalization*) If there are two nodes u and v with multiple edges from u to v we remove all but one edge.
- **Rule 3** (*Dummy Nodes*) If there is a node u without incoming edges or without outgoing edges, then we remove u and its incident edges from the graph.
- **Rule 4** (*Chaining-Nodes*) If there is a node u such that (v, u) ((u, v)) is the only incoming (outgoing) edge, then we merge u with v.

It is easy to see that these four rules are *safe*, i.e., the reduced instance has a solution if and only if the original problem has a solution. Rule 1 and Rule 2 are already implicit in Chen's algorithm. Rule 3 and Rule 4 are the directed versions of similar rules for the undirected case [15]. The next rules are new.

- **Rule 5** (*Shortcut*) If there is a node u with petal(u) = 1, then we delete u and all incident edges from the graph, but we add all shortcuts bypassing u as new edges, i.e., for any path  $v \to u \to w$  we add the edge (v, w).
- **Rule 6** (*Flower*) If there exists a node u with |petal(u)| > k, we add u to I, decrease k by 1, and delete u and adjacent edges from the graph.

Rule 5 is safe because any node u with petal(u) = 1 is dominated by another node (see above). Rule 6 is safe because not choosing u for the feedback vertex set would imply we must take one node in each of the at least k + 1 disjoint cycles through u. Note that Rule 4 is actually a special case of Rule 5. However, Rule 4 can be tested in constant time, while Rule 5 requires a min-cut computation. Therefore, we list them as two rules. Rule 6 is the directed version of the the undirected flower reduction proposed by Thomasse [15]. In contrast to the undirected case, this rule can be tested efficiently using standard min-cut techniques.

Note that we have reduction rules for nodes with small petal size and large petal size, but no rules for petal size between 2 and k. Such rules might be necessary to find a true kernelization for DFVS.

Initial Heuristics. It may be helpful to choose the initial subgraph H and its k-node feedback vertex set I more carefully. This was already suggested by Chen [4]. Assume a heuristic gives us a set F of nodes whose removal makes G acyclic. If  $|F| \leq k$ , we can choose H = G and I = F and we are done. Otherwise, we pick a random k-node subset  $F_0$  of F and start Chen's algorithm with  $H = G - (F - F_0)$  and  $I = F_0$ . Clearly,  $F_0$  is a feedback vertex set of

H, and if F is a good approximation to the optimal solution, H may be close to G. We propose three heuristics: Chen et al. had suggested to use [4], which unfortunately exhibited the worst performance in our experiments.

- **Heuristic 1** (*Big Degree*) We greedily add nodes of maximum undirected degree (i.e., indegree + outdegree) to F until the graph becomes acyclic.
- Heuristic 2 (Fractional Approximation) We first compute a  $(1+\epsilon)$ -approximation for fractional DFVS [7], then greedily add nodes with heaviest fractional weight to F until the graph becomes acyclic.
- **Heuristic 3** (Full Approximation) We compute a DFVS approximation F with factor  $O(\min\{\log \tau^* \log \log \tau^*, \log n \log \log n\})$  [7], where  $\tau^*$  is the cost of a minimum fractional feedback vertex set.

# 4 Experiments

We used a PC with Intel(R) Xeon(TM) CPU (3.20GHz), 4 processors, 1 core per processor. The machine had 2 GB main memory (DDR2 SDRAM), 2 MB L2 cache memory, and an 80 GB serial ATA hard drive. We tested the algorithms on random graphs (see Section 4.1). For each set of parameters we used ten random graphs and recorded minimum, maximum, and average performance. For all runs of the algorithms we set a time-out threshold of three hours. We implemented the algorithms in C++ using LEDA-6.2 [12] on the Fedora Core 8 operating system. We compiled the programs with g++-4.1.2 -O3. We have approximately 4,000 LOC in total.

For Chen's algorithm, we found it non-trivial to map some of the basic graph operations to program code. For example, there is no way for two different graphs in LEDA to share common nodes, which is required when we want to compute induced graphs. We also found that some key operations common in FPT algorithms are not well supported by LEDA. For example, FPT algorithms often apply kernelization rules and then use brute-force search on the kernel to solve the problem. The brute-force search is usually done by iterating over all subsets of size k or each topological order of the graph. Therefore, we extended LEDA with two interfaces:

```
- bool foreach_subset(list<node> &sub, graph &G,
subset_prop_func pf, void *pars)
```

- bool foreach\_topord(list<node> &sub, graph &G, topord\_prop\_func pf, void \*pars)

In the subset enumerator, sub specifies a subset of nodes in G. It comes together with a property function that tests whether the subset has a certain property. Each time we generate a new subset, we call the property function with the new subset and the user-supplied parameters **pars**. This function will stop and return true once the property function returns true. If all subsets have been tried, we simply return false to indicate that for any subset of sub the property cannot be satisfied.

In subsection 4.1, we first briefly discuss how we generated the random graph instances. Then we present our experimental data in four subsections. Complete experimental data and the source code of our programs are available online [8]. In Section 4.2 we present data on the runtime performance and kernel size of Chen's original algorithm. In Section 4.3 we show the effectiveness of our new data reduction rules in improving the FPT search and reducing large input instances. In Section 4.4 we evaluate and compare three heuristics to obtain an initial configuration for Chen's algorithm. Finally, in Section 4.5 we evaluate the runtime performance of Chen's algorithm with respect to different parameters k for a graph with fixed optimum solution.

#### 4.1 The Random Graph Generator

Our goal was to generate sufficiently difficult "random" instances while still precisely controlling three parameters: n, the number of nodes in the graph; k, the size of the optimum DFVS solution; and ed, the edge density  $(m = ed \cdot n)$ . It seems difficult to generate truly random graphs with these parameters fixed, so we developed our own methods to generate graphs that seem to be quite random. We generate the graphs in two stages. First, we generate a random spanning tree [17] from which we then obtain a random connected DAG [13]. Finally, we add cycles to the graph, which poses two challenges: how to precisely control the minimum feedback vertex set size, and how to ensure that the newly generated graph is difficult to solve. We first randomly choose k nodes as the optimum solution, and then generate k node-independent cycles passing through each of them. We do not generate self-loops since they can easily be removed. Note that this method cannot generate k node-independent cycles for  $k > \frac{n}{2}$ . To create more overlapping cycles, we randomly fix a topological order for the nodes not in the solution. Each time we generate a cycle, we first randomly choose a subset A of the solution set and a subset B of the remaining nodes. We keep the nodes in B in their topological order when building cycles through these nodes. This ensures that the optimum solution size cannot exceed k. To ensure that our graphs are difficult to solve, we randomly add more cycles until we reach the required *edge density ed*, where the number of edges in a cycle is within  $\frac{1}{4}$  of the total *edge bound*. Also, we try not to generate cycles that are too big by limiting the cycle size to at most  $\frac{n}{4}$ . Although we know one optimal solution of the generated graphs, they usually did not have a unique solution in our experiments.

#### 4.2 Chen's Algorithm

Since FPT search scales poorly with n and k, we only considered graphs with  $n = 40, 60, 80, \ldots, 200, k = 2, 4, 6, 8$ , and edge density ed = 2, 3, 3.5, 4. We generated ten graphs for each triple (n, k, ed). Fig. 1(a) shows the average search



Fig. 1: Runtime of Chen's algorithm, ed = 2.0. Note that the top graph in (a) corresponds to the case k = 6 (because k = 8 never finished within three hours), while the top graph in (b) is for the case k = 8.

time as a function of n and k for ed = 2.0. For all experiments, the memory usage was constant with approximately 10 MB.

We see from the data that the runtime of the algorithm scales poorly with k, which is typical for FPT algorithms. For k = 8 and ed = 2.0, the algorithm never finished within 3 hours. For ed = 3.0 and k = 8, the algorithm showed time-outs when n was larger than 140.

It seems that the runtime is not monotonely increasing in n, probably because it is dominated by the exponential dependency on k. The high runtime for low values of n (for example, (40, 8, 2.0)) is due to the fact that these graphs have many independent cycles, and Chen's algorithm seems to perform poorly on such graphs. A possible explanation may be found in the iterative compression technique. If we have many nodes on a few cycles and must add an additional node which is on a not yet blocked independent cycle, we must keep the new node and kick out one of the previously chosen nodes. Identifying such a node might be time-consuming.

Also, the runtime does not increase monotonely in the edge density. The algorithm can still quickly solve all instances with small parameter k when the number of edges increases significantly. Also, for bigger k, the runtime does not increase monotonely with the number of edges. For example, for k = 8, the problem becomes most difficult for the FPT algorithm when ed = 2. Most graphs time-out within three hours for this configuration.

As we do not have kernelization rules for DFVS, we evaluated the kernel size by recording the maximum size of an instance of the standard min cut problem when solving the skew separator problem (in Chen's algorithm). However, we found this size is roughly the same as the size of the original graph (the deviation is usually two or three nodes).



Fig. 2: Chen's algorithm with data reductions, ed = 2.0.

#### 4.3 Data Reduction Rules

Fig. 1(b) shows the average runtime of Chen's algorithm after applying the new reduction rules for the same graphs as in Section 4.2. Now we can solve all the graphs quickly (the average longest time was 10 minutes, most often even less than 1 minute). However, the runtime is still not monotone with regard to n or ed; it is actually closely related to the reduced size after preprocessing. For example, there is a peak in the runtime when ed = 2.0 and n = 100. For this configuration, some instances got solved directly by preprocessing, while others needed hundreds of seconds to be solved. In particular, there is one instance with a big kernel (33 nodes) which was only solved after more than 2,000 seconds.

We designed two more experiments to further test the power of data reductions. In the first experiment, we kept the small values for k and varied n between 400 and 2,000, with step length 200. Fig. 2(a) and 2(c) show the average size reductions and preprocessing times, respectively. Surprisingly, we found that the reduction rules could directly handle most of the input instances when ed > 2. There are two possible explanations: The parameter k may be too small, or our "random" graphs actually have many overlapping cycles. In both cases, the *Flower* reduction could reduce the input size considerably. The preprocessing



Fig. 3: Runtimes of heuristics for Chen's algorithm, k = 8 and ed = 3.0.

time grows linearly in n and ed. On the average, it was just 3 minutes for all graphs together.

In the second experiment, we varied k in  $\{20, 40, 60, 80\}$  for the same n as in the first experiment. Fig. 2(b) shows the average reduced size for these configurations. We observe that for fixed edge density the reduced size scales linearly with the number of nodes, which indicates that our reduction rules work consistently for different graph sizes. Also, the reduced size increases with increasing edge density. This is quite different from the first experiment where the preprocessing could directly solve almost all graphs when ed is large. The reason is that the Flower reduction rule does not work well in these cases if k gets larger. Thus, it would be important to devise reduction rules that work well for relatively large parameter k as well as large edge density.

Individual reduction rules. We tried to find out which rules are more important than others. We studied two groups of graphs, the first group had parameters n = 1000, k = 4, ed = 3.0, and the second group had n = 1000, k = 40, ed = 3.0. We evaluated three types of rules: Chain, Dummy, and Cut. Type Chain rules consisted of the *Chaining Nodes* and *Shortcut* rules; Type *Dummy* rules contained the *Dummy Nodes* rule and the reduction that removes all nodes with *petal* size 0; Type *Cut* rules were just the *Flower* rule. Table 2(d) summarizes the average reduced sizes for these two configurations. We see that the Cut rules do not reduce the graph significantly, but they are useful in determining the nodes in the minimum feedback vertex cover. For example, the Cut rules may reduce a graph from 1000 to 996 nodes when k = 4, but these 4 nodes are in the feedback vertex set, so we have already solved the problem even though there are still 996 unprocessed nodes. The *Flower* rules might help other rules to further reduce the graph. Our data show that the graphs are reduced significantly when we combine Chain or Dummy rules with Flower rules. This is because a Flower rule actually selects a node for the feedback vertex set and then deletes it from the graph, which may trigger other rules. The *Flower* rule becomes useless when k grows, because graphs usually do not have large flowers.



Fig. 4: FPT search time with preprocessing and *Big-Degree* heuristic.

Fig. 5: The FPT search time with respect to different parameter k.

—**в**— К=2

100

#### 4.4 Heuristics for the Initial Configuration

We evaluated the three heuristics mentioned in Section 4 to find a good initial configuration for Chen's algorithm. Since the FPT algorithm does not scale well with n and k and the approximation algorithms are very slow even on small graphs, we only considered graphs with  $n = 20, 25, 30, \ldots, 60$  nodes, with  $k \in \{2, 4, 6, 8\}$  and ed = 3.0.

Fig. 3 shows the runtimes for k = 8 (including the times for no heuristic). The graphs would be similar for other values of k. Note that we distinguish between runtime of the heuristic and runtime of Chen's algorithm afterwards. The heuristics seem to become very useful for larger parameters k. On all our graphs, the *Big-Degree* heuristic achieved the most significant acceleration (4x to 50x speedup). Moreover, the *Big-Degree* heuristic (which usually takes only a few seconds) runs thousands of times faster than *Fractional Approximation* and *Full-Approximation* (which usually need thousands of seconds). Overall, we conclude that the best approach for solving DFVS is to first apply our reduction rules, then use *Big-Degree* to compute the initial configuration, and then use Chen's algorithm [4] to solve the problem. For example, Fig. 4 shows that, with the *Big-Degree* heuristic, we can achieve another speed-up of 2 - 3x compared to reduction rules without heuristic (Fig. 1(b)).

#### 4.5 The Impact of the Parameter k

Intuitively, we may quickly find a feedback vertex set of size k if k is much larger than the optimal one. We may also quickly reject the input if k is much smaller than the optimal parameter. To test this hypothesis, we generated graphs with 100 nodes for k = 8 and ed = 3.0. Then we used Chen's algorithm to search a feedback vertex set of size  $k = 2, 4, 6, 8, 10, \ldots, 98$ . Fig 5 summarizes the average search times for this experiment. As expected, the runtime reaches its peak when the parameter k is near the size of the minimum feedback vertex set. The runtime is quite fast when k is much larger or much smaller than the optimum.

# 5 Deadlock Detection

Deadlock recovery in concurrent programs has always been considered an important application of DFVS [4, 7]. Indeed, deadlock recovery is a very important topic in our modern *multi-core/many-core* computing era. Solving the concurrency problem has recently seen tremendous research interest in operating systems, programming languages and computer architecture communities.

For simplicity of analysis we mainly focus on mutex locks in the POSIX Thread library (Pthread). We will argue that, with the restrictions of the programming model, DFVS is not more helpful than cycle detection for deadlock recovery. This proposition is further confirmed by a report on a deadlock immunity system [10] in a recent systems conference.

In a concurrent program, the nodes of a Resource Allocation Graphs (RAG) are resources and threads. Resources are simply mutex locks. There are three types of edges in a RAG: request, grant and own. The request and grant edges are edges from thread to lock, while the own edges go from lock to thread. request edge means a thread is requesting a lock, and grant edge means the thread library allows the thread to wait on the lock (note that the thread may yield its execution to another one before being allowed to wait on the resource). The own edge from lock to thread means the thread currently owns this resource exclusively. A deadlock appears as a cycle in the RAG. In such a cycle, all edges are exclusively the grant and own edges. One lock can be owned by only one thread, even for recursive locks, though certain threads could acquire the lock multiple times. One thread, at one time, can be granted to wait on two resources simultaneously. Thus, we cannot have overlapping cycles in a RAG, and therefore simple cycle detection suffices to resolve the deadlocks.

# 6 Conclusions

We presented a comprehensive experimental study on the feedback vertex set problem in digraphs. We proposed new data reduction rules to efficiently reduce the FPT search space. Finally, we demonstrated that DFVS search is not more helpful than cycle detection in efficient deadlock detection in modern concurrent systems.

We would like to find better data reduction rules for DFVS, in particular a polynomial-size kernel. We remark that though UFVS has a small problem kernel, the parameter k is potentially very large for dense graphs, as in undirected graphs it is quite easy to have a cycle. We may also study other parameters than "solution size", for example, "edge density". Our reduction rules seem to perform well when the edge density is low. Better approximation algorithms for DFVS might also help to speed up the FPT search. We demonstrated that DFVS is not more helpful than cycle detection in detecting deadlocks with mutex locks, but there are also other types of locks, such as read/write locks, that may generate complex overlapping deadlocks. However, we found that other

lock types, for example spin locks, behave essentially the same as mutex locks. Read/write locks may behave differently. but most thread libraries (e.g. NPTL (Native POSIX Thread Library) restrict the number of threads in read mode, and once a thread is waiting in write mode, later read requests are pending until completion of the write. We are now investigating the practicability of FPT DFVS algorithms in circuit testing to reduce the hardware overhead required for "scan registers" [11].

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